



Advisor Name: _____

Phone Number: _____

Email: _____

Protect Assessment Checklist

To prepare for the Protect Assessment, which uniquely ties together your business as an asset with your personal financial plan, here is the information you may need to gather beforehand to get an accurate picture of what your business provides.

What Your Business Provides	\$\$\$ Amount
Salary	
Pension, Profit Sharing, etc.	
Employee Benefit Programs	
Company Paid Car, Travel, Meals, etc.	
Rent Paid to Owner's Real Estate Entity	
Other Company Benefits Not Listed	
Percentage Ownership of Business (%)	
Pension Plans	

Current Investment Amounts	\$\$\$ Amount
Personal Savings	
Assets Under Management (AUM)	
Qualified Plans (i.e. Roth, IRAs, 401k Rollovers from Prior Work)	
Real Estate/Investment Property	
Cash Value Life Insurance Amounts	
Other Assets	

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Other Sources of Income	\$\$\$ Amount
Rental Income	
Income Working Outside the Business	
Social Security	
Non-Qualified Deferred Compensation	
Monthly Disability Income Benefit	

Most business owners report that their business is their biggest asset. Its success and eventual transferability will determine the success of their financial plan and security.

Yet at the same time, most also report that their business is their biggest challenge. It certainly has inherent risks that could derail future financial goals.

By looking at the business as an asset, its risks and transfer readiness, we can get a more holistic view of how it fits within your future goals while mitigating business risks.

The Business as an Asset	\$\$\$ Amount
Amount of Business Loans	
Amount of Loans Collateralized by Life Insurance	
Number of Key Employees	
Total Salaries of Key Employees	
Will You Need a Sale of the Business to Complete Your Financial Goals?	
Has a Successor Been Chosen?	
Is a Buy-Sell Agreement in Place?	